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SERIAL NUMBER 10/047,607	FILING OR 371(c) DATE 01/14/2002 RULE 1.47	CLASS 705	GROUP ART UNIT 3691	ATTORNEY DOCKET NO. 01-1034 CF/34
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APPLICANTS

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**** CONTINUING DATA *******

This appln claims benefit of 60/295,300 06/01/2001

**** FOREIGN APPLICATIONS *********IF REQUIRED, FOREIGN FILING LICENSE GRANTED**

** 02/12/2002

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY NJ	SHEETS DRAWING 9	TOTAL CLAIMS 16	INDEPENDENT CLAIMS 8
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input checked="" type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature _____ Initials _____				

ADDRESS

63710

TITLE

Systems and methods for electronic trading that permit principal/broker trading

FILING FEE RECEIVED 2340	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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